

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
24 January 2002 (24.01.2002)

PCT

(10) International Publication Number
WO 02/06883 A2

(51) International Patent Classification⁷: G02C 7/00

(21) International Application Number: PCT/US01/22633

(22) International Filing Date: 18 July 2001 (18.07.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/618,580 18 July 2000 (18.07.2000) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:
US 09/618,580 (CIP)
Filed on 18 July 2001 (18.07.2001)

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(71) Applicant and
(72) Inventor: PEREZ, Edward [US/US]; 799 Berkeley Street, H, Menlo Park, CA 94025 (US).

(74) Agent: WHEELLOCK, E., Thomas; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).

(54) Title: PRE-FABRICATED CORNEAL TISSUE LENS AND METHOD OF CORNEAL OVERLAY TO CORRECT VISION
(II)

(57) Abstract: This relates to a lens made of donor corneal tissue suitable for use as a contact lens or an implanted lens, to a method of preparing that lens, and to a technique of placing the lens on the eye. The lens is made of donor corneal tissue that is acellularized by removing native epithelium and keratocytes. These cells optionally are replaced with human epithelium and keratocytes to form a lens that has a structural anatomy similar to human cornea. The ocular lens may be used to correct conditions such as astigmatism, myopia, aphakia, and presbyopia.

WO 02/06883 A2



PRE-FABRICATED CORNEAL TISSUE LENS AND METHOD OF CORNEAL OVERLAY TO CORRECT VISION (II)

5

FIELD OF THE INVENTION

This invention is in the field of ophthalmology. More particularly, it relates to a living lens, suitable for use as a contact lens or for subepithelial implantation. The lens is made of donor corneal tissue. The invention includes methods of preparing that lens and to techniques of placing the lens on the eye.

10

BACKGROUND OF THE INVENTION

The visual system allows the eye to focus light rays into meaningful images. The most common problem an ophthalmologist or optometrist will encounter is that of spherical ammetropia, or the formation of an image by the eye which is out of focus with accommodation due to an improperly shaped globe. The ophthalmologist or optometrist determines the refractive status of the eye and corrects the optical error with contact lenses or glasses.

15

20

Many procedures have been developed to correct spherical ammetropia by modifying the shape of the cornea. Light entering the eye is first focused by the cornea, which possesses approximately 75% of the eye's overall refractory power. The majority of refractive operations involve either decreasing or increasing the anterior curvature of the cornea.

The procedures in early corneal refractive surgery such as keratophakia and keratomileusis were originally developed to correct myopia and involved removing a corneal disc from the patient with a microkeratome. The removed corneal disc was then

frozen prior to reshaping the posterior surface with a cryolathe. After thawing, the disc was returned to the eye and secured with sutures.

Epikeratophakia, as described in U.S. Pat. No 4,662,881, is a procedure that involves inserting a precut donor corneal tissue lens with beveled edges into corresponding
5 grooves in recipient cornea. The lens is then sutured to the corneal bed. The donor lens is lyophilized and requires rehydration before placement on recipient cornea.

These techniques and their variations were generally considered to be unsuccessful due to frequent complications involving irregular astigmatism, delayed surgical healing, corneal scarring, and instability of the refractive result. The problems
10 were attributed to the technical complexity of the procedures as well as to the distortion in architecture of the corneal tissue secondary to lens manipulation. For example, in epikeratophakia, epithelial irregularity is induced by lyophilization of the donor lens. Freezing of the lenticule in keratophakia and keratomileusis also causes severe damage to epithelial and stromal cells and disrupts the lamellar architecture of the cornea.

15 The present invention is a pre-fabricated lens made of donor corneal tissue obtained from tissue sources such as human or animal cornea. The lens is a corneal disc that is preferably shaped on the posterior surface generally to conform in shape to the eye's anterior surface. The inventive lens may be shaped by an ablative laser, e.g., by an excimer laser or other suitable laser. The corneal lenticule is living tissue that has not been frozen,
20 lyophilized, or chemically modified, e.g., fixed with glutaraldehyde to crosslink corneal tissue. Pre-existing keratocytes are removed and then replaced with human keratocytes to decrease antigenicity. After removal of epithelium in the central zone of the recipient's cornea, the lens is placed on this zone in the same manner that a contact lens is placed on the eye.

Ocular lenses found in the prior art do not use native cornea, but are formulated using soluble collagen such as collagen hydrogels, e.g., polyhydroxyethylmethacrylate, or other biocompatible materials. For example, in U.S. Pat. No. 5,213,720, to Civerchia, soluble collagen is gelled and crosslinked to produce an artificial lens. In addition to
5 hydrogels, U.S. Pat. No. 4,715,858, to Lindstrom, discloses lenses made from various polymers, silicone, and cellulose acetate butyrate.

In the cases where ocular lenses use corneal tissue, the lenses are either corneal implants or require a separate agent to adhere the lens to the corneal bed. U.S. Pat. Nos. 5,171,318, to Gibson et al., and 5,919,185, to Peyman, relate to a disc of corneal tissue that
10 is partially or entirely embedded in stroma. The ocular lens device disclosed in U.S. Pat. Nos. 4,646,720, to Peyman et al., and 5,192,316, to Ting, is attached to recipient cornea with sutures. The corneal inlay described in U.S. Pat. No. 4,676,790, to Kern, is bonded to recipient cornea using sutures, laser welding, or application of a liquid adhesive or crosslinking solution.

15 The ocular lens device of this invention does not alter the anatomical structure of corneal tissue. U.S. Pat. No. 4,346,482, to Tennant et al., discloses a "living contact lens" consisting of donor cornea that has been anteriorly curved for correction of vision. However, this lens is frozen prior to reshaping on a lathe which results in stromal keratocyte death. U.S. Pat. No. 4,793,344, to Cumming et al., also describes a donor
20 corneal tissue lens that is modified by treatment with a glutaraldehyde fixative that preserves the tissue and prevents lens swelling. This treatment alters the basic structure of the corneal lenticule by crosslinking the tissue.

Furthermore, the cited documents do not show any methods of lens preparation that remove native corneal tissue cells and replace them with cells cultivated from human
25 cornea. My inventive device is devitalized of native epithelium and keratocytes to create

an acellular corneal tissue, and then revitalized with human epithelium and keratocytes. An attempt to construct a so-called "corneal tissue equivalent" was shown in U.S. Pat. No. 5,374,515, to Parenteau et al. However, the collagen used in that "equivalent" is obtained from bovine tendon instead of from cornea. The added keratocytes and epithelium are also not from human sources. The tissue using these cell culturing procedures is also quite fragile.

An excimer laser is used to reform a cornea via the "laser *in situ* keratomileusis" (LASIK) procedure. In this technique, an excimer laser is used to perform stromal photoablation of a corneal flap or *in situ* photoablation of the exposed stromal bed. Studies have shown that the inaccuracy of correction by this procedure may be as much as one diopter from the desired value. Lenses (contacts and spectacles), in contrast, are able to correct within 0.25 diopters of the desired value.

U.S. Pat. No. 6,036,683, to Jean et al., shows the use of a laser to reshape the cornea. However, the laser changes the native structure of the cornea by irreversibly coagulating collagen. Post-laser relaxation of collagen is not possible with this treatment.

This invention, however, in some variations relates to a pre-fabricated donor contact lens that adheres to recipient cornea without sutures. The lens preserves the anatomy of normal corneal tissue. The donor lens may be obtained from human and animal sources, is devitalized of native keratocytes and epithelium to create an acellular tissue, and then optionally revitalized with at least one of human keratocytes and epithelial cells to maintain lens viability and decrease antigenicity. The inventive corneal overlay technique may be completed under local anesthesia as well as general anesthesia, and the availability of a precut lens will greatly decrease procedure time, patient cost, and risk of operative complications. The duration of healing will also be reduced due to the implementation of a lens already repopulated with keratocytes.

None of the cited documents shows or suggest my invention as described herein.

SUMMARY OF THE INVENTION

5 This invention is a pre-fabricated ocular lens device having a lens core made of donor corneal tissue from tissue sources such as human or animal cornea. The device may be used as a contact lens or as an implanted lens and may have a generally convex anterior surface and , optionally, a concave posterior surface. The stroma portion of the lens core may be repopulated with replaced keratocytes and the anterior surface is preferably covered
10 with a replaced epithelium. The lens core adheres to recipient cornea without sutures or other adhering materials.

 The lens core may be variously used to correct astigmatism, myopia, aphakia, and presbyopia. The lens core may be made of transgenic or xenogenic corneal tissue. Properly treated, the inventive lens may have a clarity at least 85% of that of human
15 corneal tissue of a corresponding thickness. The lens core is not frozen, lyophilized, or chemically treated with a fixative. However, variations of the device may contain therapeutic agents, growth factors, or immunosuppressive agents.

 Another component of the invention is a method for preparing the lens device. After sharp dissection of a lenticule from donor corneal tissue, the posterior surface is
20 shaped using an ablative laser, such as an excimer laser or other suitable shaping lasers. Native epithelium and keratocytes are removed and then replaced, as desired, with human epithelium and keratocytes.

 Another portion of the invention is a method of corneal overlay that involves de-epithelialization of a portion of the anterior surface of the recipient cornea and placement of
25 the inventive ocular lens device upon that anterior surface. Another method involves the

temporary separation of the epithelial tissue by suction or other procedures and placement of the inventive lens beneath that epithelial tissue.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is a superior, cross-sectional view of the eye.

 Figure 2A is a side view of the focusing point in myopia.

 Figure 2B is a side view of a focusing point corrected by flattening the anterior curvature of the cornea.

 Figure 3A is a side, cross-sectional view of a pre-fabricated donor lens.

10 Figure 3B is a side, cross-sectional view of a pre-fabricated donor lens suitable for correcting myopia.

 Figure 3C is a side, cross-sectional view of a pre-fabricated donor lens suitable for correcting aphakia.

 Figure 3D is a front view of a pre-fabricated donor lens suitable for bifocal use.

15 Figure 3E is a side, cross-sectional view of the Fig. 3C lens positioned away from the cornea of an eye.

 Figure 3F is a front view of an inventive lens having an overlapping epithelial layer.

 Figure 3G shows a side cross sectional view of the Fig. 3F lens.

 Figure 3H shows a side cross sectional view of an inventive lens in a carrier..

20 Figure 3I is a front view of an annular inventive lens. Figure 3J shows a side cross sectional view of the Fig. 3I lens.

 Figure 4A is a side, cross-sectional view of an area of de-epithelialized recipient cornea prepared to receive the optical lens of the present invention.

25 Figure 4B is a side, cross-sectional view of the donor lens after placement on recipient cornea.

Figure 5 show a series of steps for introducing an inventive lens subepithelially.

DETAILED DESCRIPTION

5 The eye is designed to focus light onto specialized receptors in the retina that turn quanta of light energy into nerve action potentials. As shown in Fig. 1, light rays are first transmitted through the cornea (100) of the eye. The cornea is transparent due to the highly organized structure of its collagen fibrils. The margins of the cornea merge with a tough fibrocollagenous sclera (102) and is referred to as the corneo-scleral layer.

10 The cornea (100) is the portion of the corneo-scleral layer enclosing the anterior one-sixth of the eye. The smooth curvature of the cornea is the major focusing power of images on the retina (104) and it provides much of the eye's 60 diopters of converging power. The cornea is an avascular structure and is sustained by diffusion of nutrients and oxygen from the aqueous humor (106). Some oxygen is also derived from the external
15 environment. The avascular nature of the cornea decreases the immunogenicity of the tissue, increasing the success rate of corneal transplants.

 The cornea consists of five layers. The outer surface is lined by stratified squamous epithelium which is about five cells thick. Failure of epithelialization results in necrosis of the stromal cap and potential scarring of recipient cornea. The epithelium is
20 supported by a specialized basement membrane known as Bowman's membrane, which gives the cornea a smooth optical surface. The bulk of the cornea, the *substantia propria* (stroma), consists of a highly regular form of dense collagenous connective tissue forming thin lamellae. Between the lamellae are spindle-shaped keratocytes which can be stimulated to synthesize components of the connective tissue. The inner surface of the

cornea is lined by a layer of flattened endothelial cells which are supported by Descemet's membrane, a very thick elastic basement membrane.

As previously mentioned, the focusing power of the cornea is primarily dependent on the radius of curvature of its external surface. In myopia, as seen in Figure 2A, increased curvature of the cornea (200) causes the focusing point of light rays (202) to fall short of the retina (204). In Figure 2B, flattening the anterior curvature of the cornea (206) corrects the focal point (208).

Inventive Lens structures

In a first variation of the inventive lens, the physical shape generally is of a size and configuration that upon installation on the cornea, supplements the curvature of the cornea to correct abnormal conditions such as astigmatism, myopia, hyperopia, presbyopia, and aphakia. Other variations of the lens may be shaped to be placed beneath the anterior surface of the host cornea or to serve as a source of medication.

Typically, the lens core may comprise or consist essentially of acellular donor corneal tissue that has been devitalized, e.g., treated to remove native keratocytes and epithelium, to lessen the chances of tissue rejection and then at least partially revitalized, e.g., treated to introduce at least one of human keratocytes and an epithelial layer, to allow and to support continued use of the inventive lens in place on the eye. It is within the scope of this invention that epithelial cells be (often in the form of a discrete layer) be placed on at least a portion of the anterior surface of the inventive lens. In some variations of the inventive lens, all of the anterior surface will be so-covered. In one variation discussed below, an epithelial layer will extend beyond the periphery of the lens core and optionally the lens be carried in a biodegradable carrier that is used during placement in the eye and later disappears.

The inventive lens may be placed on a host eye from which at least a major portion of the native epithelium on that cornea, has been removed. Preferably in this variation of the inventive procedure, substantially all of the epithelium has been removed from the region upon which the inventive lens will be sited. The lens may also be placed beneath a layer of epithelium lifted from the eye surface during the procedure of introducing the lens onto the anterior surface of the host cornea or in other instances beneath the surface of the host cornea. The inventive lens may be used variously to correct refraction (because of its shape) or it may be used simply to provide a source of infused medication to the eye.

The donor lenticule or lens core may be obtained from other human (allogeneic) or foreign tissue (xenogenic) sources. Appropriate xenogenic sources include rabbit, bovine, porcine, or guinea pig corneal tissue. The ocular lens cores may also come from transgenic corneal tissue or corneal tissue grown *in vitro*. In many instances, it is desired that the architecture of the corneal layers in the donated tissue, the normal corneal tissue matrix, e.g., the connective tissue or the stroma, be substantially preserved. The "corneal tissue matrix" is made up of thin layers of collagen fibrils. The term "donor corneal tissue", as used here, is meant to include donor or harvested corneas or corneal tissue containing the "corneal tissue matrix". Additionally, in most variations of the invention, it is highly desirable to preserve the anterior surface of the donated corneal tissue as found beneath the native epithelium. The donor corneal tissue is not to undergo harsh treatments such as lyophilization, freezing, or other chemical fixation. Nevertheless, it is sometimes desirable to utilize only a portion of the anterior surface of the donor lens, e.g., in those instances where the inventive lens structure is annular in shape.

The ocular lens device of this invention desirably includes Bowman's membrane, where the donor tissue includes it, to maintain the native structure of human epithelium. Again, it is highly desirable to harvest from donor sources in such a way that

the native anterior surface below the epithelium is preserved. I have found that these native structures have a superior ability, particularly after the revitalization steps discussed below, to support and to maintain the replaced epithelium also discussed below. The clarity of the inventive tissue lens core handled in such a way generally will be at least 85%, preferably
5 between 75%-100%, and most preferably at least 90%, of that of human corneal tissue of corresponding thickness.

The overall diameter of the inventive lens is functionally appropriate to perform the desired correction, and generally is less than about 25 mm and more preferably is between 10 and 15 mm. The thickness of the resulting lens is, again, functionally
10 appropriate to perform the desired correction, e.g., generally less than 300 μm , more preferably between 5-100 μm .

As shown in Fig. 3B, a lens core (316) for myopic patients is formed, preferably using the procedures discussed below, in such a way that a generally circular region (318) in the center is flattened in its anterior curvature. In correction of aphakia, a
15 lens such as is shown in Fig. 3C is formed having a comparatively thicker center (322) and a thinner perimeter (324). In general, the shapes discussed here are similar to those found in the so-called "soft" contact lenses and instruction may be had from that technology relating to the overall form of the lenses selected for correcting specific ocular maladies.

As shown in Figs. 3D and 3E, the inventive lens may also be used to correct
20 presbyopia. In particular, to treat presbyopia, the lens (330) is also provided with an generally opaque annular region (332) adjacent the center of the device. The open center (334) preferably has plano-lens characteristics and an effective diameter of less than about 1.5 mm, preferably between about 0.5-1.5 mm, and most preferably between 0.75 mm and 1.75 mm. The diameter of that open center (334) or central area or "pinhole" is generally

formed and selected to be less than the pupillary diameter of the host eye in daylight. This creates a "pinhole" effect, thereby lengthening the overall effective focal length of the eye and minimizing the need for the eye to accommodate. Other bifocal lens designs can also be incorporated, e.g., concentric rings, segmented or sectors of the annular region or ring, or progressive diffractive.

Fig. 3E shows a side, cross-sectional view of the inventive lens (330) shown in Figure 3D, adjacent the anterior surface of a cornea (344) to illustrate certain features of this variation. The outer diameter (336) of the opaque annular ring (332) is generally selected so that it is smaller than the diameter (338) of the pupil (340) in the iris (342) in low light conditions. In this way, the eye's cornea and lens and the inventive lens cooperate in such a way that incident light passes both through the center of the opaque ring (334), but more importantly, around the periphery of the opaque ring (332), to allow corrected sight during low light conditions.

The annular ring (332) may be situated on the lens core either by placement of a suitable dye, i.e., by "tattooing", or by placement of a substantially opaque biocompatible member of, e.g., Dacron mesh or the like, on the posterior surface to filter light rays. Other placements of the annular ring (332) may be envisioned, e.g., on the anterior surface of the inventive lens. The annular ring (332) itself preferably is quite opaque, e.g., passing less than about 80% of incident visible light, but may be chosen in such a way to be less opaque or to correct other maladies such as colorblindness by shifting an incident color into a visible range by color refraction or the like.

As is shown in Figures 3F (in front view) and 3G (in cross section), another variation of the inventive lens device (346) includes a core lens (348) as discussed above but having an epithelial layer (352) that extends beyond the periphery (350) of that lens core (348). The method for producing the variation (346) with an extra-periphery epithelial

layer (352) is similar to the method described elsewhere herein except that the lens core (348) is desirably placed in a carrier (354 in Fig 3H)) having a shape generally conforming to the anterior surface of the donor core lens (348).

The carrier (354), as shown in Fig. 3H, desirably serves several functions.

5 First, it provides a substrate for growth of the epithelial layer (352) prior to the time that the core lens (348) is placed on that epithelial layer (352). This extra surface beyond the periphery of the core lens (348) provides support for the otherwise fragile epithelial layer (352). The carrier (354) may be placed in or formed in a properly shaped receptacle that, in turn, provides support for the fragile carrier (354) during the steps of growing an epithelial
10 layer (352).

The combination (356) of carrier (354), epithelial layer (352) -- whether the epithelial layer (352) extends beyond the periphery of the core lens (348) or not, e.g., the epithelial layer (352) is situated only on some or all of the core lens (348) -- and core lens (348) placed on that epithelial layer (352), as shown in Fig. 3H, is another variation of the
15 invention. The construct (356) shown in Fig. 3H may, upon proper choice of materials for the carrier, be placed directly in the host eye thereby providing support for the epithelial layer (352) and core lens (348), as well as optionally, medication or other treatment materials for the eye during initial placement.

When the carrier is used for placement in the eye, the carrier (354) preferably
20 comprises a material meeting two related criteria. First, the material desirably is one that dissolves, erodes, or otherwise shortly clears from the eye to be treated after the combination (356) of the carrier (354), epithelial layer (352), and the donor lens (348) are introduced to that eye. Preferably also, the carrier is of a material that serves as a substrate for a pre-grown epithelial layer. Most desirably, the carrier (354) satisfies both criteria.
25 The carrier (354) may comprise a material such as collagen, gelatin, starch, glucosamine

glucans, proteins, carbohydrates, polyanhydrides such as polylactides and polyglycolides, their mixtures and copolymers, polydiaxanone, etc.

The carrier (354) may also be infused with medication or other treatment material, antiangiogenesis materials or the like.

Figures 3I and 3J show, respectively, a front view and a side cross sectional view of an inventive lens (360) having a central opening (362) passing through the lens body. Although this lens variation (360) is shown without an epithelial layer, it is within the scope of the invention to so include the layer.

Process for Shaping the Lens

Returning to Fig. 3A, the donor core lens (300) desirably is obtained after slicing corneal tissue from the donor with a microkeratome to form that lens core (300). The donor lens (300) has a structural surface, the anterior surface of the lens core, which serves as the structural surface of the donor corneal tissue. The lens core anterior surface is harvested preferably to retain the Bowman's membrane (where the donor lens contains one) and epithelium (302). The posterior surface (304) of the resulting inventive lens is generally concave in shape, although it need not be so. The anterior surface of the lens may be shaped via a shaping step which preferably involves the use of an ablative laser, such as an excimer laser, to obtain the necessary power of the lens. Another suitable forming step is high pressure water jet cutting.

Sterilization, Devitalization, and Revitalization Steps

Although the order of the process steps outlined below is typical, it should be understood that such steps may be varied as needed to produce the desired result.

Generally, the lens will first be shaped to an appropriate shape as discussed above. The lens core may then be subjected to processes of sterilization, devitalization, and

revitalization. Removal of epithelium (de-epithelialization) and keratocytes (acellularization) from the donor lens will be referred to as "devitalization". The addition of human epithelium and keratocytes will be referred to as "revitalization". One desirable method for accomplishing those steps is found just below. Other equivalent methods are known.

Phosphate buffered saline (PBS) with antibiotics, epithelial cell media, and keratocyte media are solutions used during these processes. The "PBS with antibiotics" solution may contain:

PBS with antibiotics

1. Amphotericin B (ICN Biomedicals) 0.625 µg/ml
2. Penicillin (Gibco BRL) 100 IU/ml
3. Streptomycin (Gibco BRL) 100 µg/ml
4. Phosphate buffered saline (Gibco BRL)

The composition of the epithelial cell media may include:

Epithelial cell media

1. Dulbecco's Modified Eagle Media/Ham's F12 media (Gibco BRL)
2. 10% fetal calf serum (Gibco BRL)
3. Epidermal growth factor (ICN Biomedicals) 10 ng/ml
4. Hydrocortisone (Sigma-Aldrich) 0.4 µg/ml
5. Cholera toxin (ICN Biomedicals) 10^{-10} M
6. Adenine (Sigma-Aldrich) 1.8×10^{-4} M
7. Insulin (ICN Biomedicals) 5 µg/ml
8. Transferrin (ICN Biomedicals) 5 µg/ml

9. Glutamine (Sigma-Aldrich) 2×10^{-3} M
10. Triiodothyronine (ICN Biomedicals) 2×10^{-7} M
11. Amphotericin B (ICN Biomedicals) 0.625 $\mu\text{g/ml}$
12. Penicillin (Gibco BRL) 100 IU/ml
- 5 13. Streptomycin (Gibco BRL) 100 $\mu\text{g/ml}$

The composition of the keratocyte media may include:

Keratocyte media

1. DMEM
2. 10% neonatal calf serum (Gibco BRL)
- 10 3. Glutamine (Sigma-Aldrich) 2×10^{-3} M
4. Amphotericin B (ICN Biomedicals) 0.625 $\mu\text{g/ml}$

Sterilization Step

After harvesting the lens core from donor corneal tissue and following the
15 shaping step, the lens may be sterilized, for instance, by immersion into 98% glycerol at
room temperature. Three weeks of glycerol treatment inactivates intracellular viruses and
any bacteria or fungi. Ethylene oxide gas sterilization may also be used, but tends to
induce variable damage to stromal tissue.

Devitalization Step

De-epithelialization

20 I prefer to de-epithelialize the donor lens by placing it in a one molar solution of salt
(preferably sodium chloride) at a temperature from 4 to 25°C. After four to eight hours of
incubation, the entire epithelial layer generally will split from the corneal stroma and may

be easily removed. Thereafter the lens may be washed in a PBS solution with antibiotics to remove salt and cellular material.

Another method of removing the epithelium is via the use of vacuum. The epithelium may be split from the stroma by means of suction (-100mm Hg to -450mm Hg). After
5 fifteen minute to 1 hour, the epithelium typically will separate from the stroma at the basement membrane layer. Thereafter the lens may be washed in a PBS solution with antibiotics to remove salt and cellular material.

Finally, the donor lens may be de-epithelialized by placing it in sterile PBS with antibiotics for four hours and changing the solution many times. The lens core may then be
10 kept submerged in the PBS solution at 37°C for one week to produce a split between the epithelium and the stroma. The epithelium may then be removed, e.g., by physically scraping or washing with a liquid stream. Small numbers of lenses may be stripped of epithelium by gentle scraping with forceps.

Acellularization

15 The de-epithelialized lens may be then immersed in a solution of detergent (for example 0.025% to 15% sodium dodecyl sulfate) to wash out the keratocyte cellular material. A detergent will solubilize and wash out the keratinocytic material. This can take place from 1 to 8 hours. Afterward the cellular material can be washed in a buffered solution with antibiotics to remove detergent and cellular material.

20 Alternatively, the de-epithelialized lens may be immersed in sterile PBS with antibiotics for an appropriate period, e.g., several weeks, perhaps six weeks to remove native keratocytes. The solution may be changed twice weekly. In some instances, it may not be necessary to remove keratocytes from the donor lens, e.g., when the donor tissue is obtained from a transgenic source and has minimal antigenicity.

Revitalization Step

Preparation of cells

Human epithelial cells and keratocytes are used in the revitalization process. Epithelial cells may be obtained from a tissue bank, but are preferably obtained from fetal or neonatal tissue. Fetal cells are most preferable, since the properties of fetal tissue minimize scarring during any wound healing process.

In any event, freshly isolated epithelial cells, obtained by trypsinization of corneal tissue, may be seeded onto a precoated feeder layer of lethally irradiated 3T3 fibroblasts (i.3T3) in epithelial cell media. Cells are cultured and media changed every three days until the cells are 80% confluent, about 7-9 days. Residual i.3T3 are removed with 0.02% EDTA (Sigma-Aldrich) before the epithelial cells are detached using trypsin (ICN Biomedicals). Another method of regenerating epithelium involves culturing autologous epithelial cells on human amniotic membrane as described in Tsai et al. (2000). "Reconstruction of Damaged Corneas by Transplantation of Autologous Limbal Epithelial Cells," *New England Journal of Medicine* 343:86-93.

Keratocytes may be extracted from the remaining stromal tissue. The stroma is washed in PBS, finely minced, and placed in 0.5% collagenase A (ICN Biomedicals) at 37°C for 16 hours. Keratocytes obtained from this enzyme digest are then serially cultured in keratocyte media. The epithelial cells and keratocytes generated in the revitalization step will be referred to as "replaced" epithelial cells and keratocytes.

Production of the donor lens

The acellular donor lens core may then be placed on a hydrophilic, polyelectrolyte gel for completion of the re-vitalization. The preferred polyelectrolytes are chondroitin sulfate, hyaluronic acid, and polyacrylamide. Most preferred is polyacrylic

acid. The lens is immersed in keratocyte media and incubated with approximately 3×10^5 keratocytes for 48 hours at 37°C. Approximately the same amount of epithelial cells are then added to the anterior stromal surface. Tissue culture incubation continues for another 48 hours. Keratocyte media is changed every two to three days. Once the epithelium is regenerated, the polyelectrolyte gel draws water out of the lens at a pressure of about 20-30 mm Hg until the original lens dimensions are obtained.

Replaced epithelium covers at least a portion of the anterior surface of this variation of the inventive lens and replaced keratocytes repopulate the stroma of the lens core after revitalization.

As noted above, another variation of the inventive lens includes an epithelial layer (352 in Fig. 3G) that extends from the periphery of the lens core (348). The same procedure as just outlined may be used to prepare the epithelial cell layer in the carrier (354) prior to placement of the lens core (348) onto the pre-prepared epithelial cell layer.

It may be beneficial in some instances also to incorporate therapeutic agents, growth factors, or immunosuppressive agents into the lens core further to decrease the risk of rejection or remedy disease states.

Placement of the lens on the eye

One procedure for applying the lens of this invention is depicted in Figures 4A and 4B. During the procedure, the donor lens (300), as shown in Fig. 3A, is placed on a portion of recipient cornea that has been de-epithelialized (308). The result is the placement and construct (312) shown in Figure 4B. The lens' replaced epithelium and the host epithelium eventually grow to form a continuous, water-tight layer (310). I have found that the inventive lens bonds or adheres to the recipient cornea without sutures or adhesives, but can also be removed without substantial difficulty.

Another placement procedure variation is shown in Fig. 5. In this variation, it is preferable to use a core lens that has been only partially revitalized in that the keratocytes have been replaced but the epithelial layer has not. Of course, a core lens that has been partially covered with a seed layer of epithelial cells is also acceptable. In any event, step a. of fig. 5 shows a native eye (600) having an epithelial layer (602) and a corneal stroma (604). Step b. of fig. 5 shows the placement of a suction device (606) on the anterior surface of the eye (600). The suction device (606) applies a modest vacuum to the epithelial layer (602), e.g., between about -100 mmHg and -450 mmHg, to raise a section of the epithelial layer (602) as shown in step c. This blister (608) typically is filled with a physiologic fluid. Obviously, the suction device (606) has a footprint on the surface of the cornea similar to the size of the lens to be placed on that cornea. Step d. shows the opened epithelial flap (608) and the placement of the lens towards the corneal stromal margin (612) beneath that epithelial flap (608). Step e. of Fig. 5 shows the finished placement of the lens (610) on the cornea beneath the native epithelial membrane. This procedure has a number of benefits including that of being less traumatic to the surface of the eye than simple removal of the epithelium.

It is also within the scope of the invention to use the preparation procedure for the LASEK procedure in this invention for the step of exposing the corneal surface for application of the inventive lens. The LASEK procedure is known and, unlike the LASIK procedure, does not involve temporary removal of an anterior flap of corneal tissue with a surgical tool but rather only utilizes an ethanol wash and a temporary withdrawal of the epithelial layer for a laser treatment. Such a preliminary step, the washing with ethanol to perturb the junction between the corneal stroma and the epithelium is adequate to provide a layer of epithelium for temporary movement and insertion of the inventive lens on the corneal surface.

I have described the structural and physiologic properties and benefits of this donor ocular lens. This manner of describing the invention should not, however, be taken as limiting the scope of the invention in any way.

I CLAIM AS MY INVENTION:

- 5 1. An ocular lens device comprising:
 a lens core comprising donor corneal tissue having a generally convex anterior
surface and a posterior surface, and comprising at least one of a.) replaced keratocytes in
said lens core and b.) replaced epithelial cells covering at least a portion of said anterior
surface.
- 10 2. The ocular lens device of claim 1 wherein said posterior surface is generally
concave.
3. The ocular lens device of claim 1 wherein said lens core comprises acellular
15 corneal tissue.
4. The ocular lens device of claim 1 wherein said lens core consists essentially of
acellular corneal tissue.
- 20 5. The ocular lens device of claim 1 wherein said posterior surface is concave.
6. The ocular lens device of claim 1 wherein said posterior surface has been
subjected to a shaping step.
- 25 7. The ocular lens device of claim 6 wherein said posterior surface is shaped by an
ablative laser.

8. The ocular lens device of claim 1 wherein said ocular lens has a clarity at least 85% of that of human corneal tissue of a corresponding thickness.

5 9. The ocular lens device of claim 1 wherein said ocular lens has a clarity between about 75% and about 100% of that of human corneal tissue of a corresponding thickness.

10. The ocular lens device of claim 9 wherein said lens core has a clarity at least 90% of that of human corneal tissue of a corresponding thickness.

10 11. The ocular lens device of claim 1 wherein said lens core consists essentially of donor corneal tissue.

12. The ocular lens device of claim 1 wherein said lens core comprises human corneal tissue.

15

13. The ocular lens device of claim 1 wherein said lens core comprises allogenic corneal tissue.

14. The ocular lens device of claim 11 wherein said lens core comprises xenogenic corneal tissue.

20

15. The ocular lens device of claim 14 wherein said xenogenic lens core comprises corneal tissue selected from the group consisting of rabbit, bovine, porcine, and guinea pig corneal tissue.

25

16. The ocular lens device of claim 11 wherein said lens core comprises transgenic corneal tissue.

17. The ocular lens device of claim 11 wherein said donor corneal tissue has a structural surface and said lens core anterior surface is the structural surface of the donor corneal tissue.

18. The ocular lens device of claim 1 wherein said size and configuration is selected to be corrective for at least one selected from the group consisting of astigmatism, myopia, aphakia, and presbyopia.

19. The ocular lens device of claim 18 wherein said size and configuration is selected to be corrective for myopia and said device has a generally circular, flat lens core center region.

15

20. The ocular lens device of claim 18 wherein said size and configuration is selected to be corrective for aphakia and said device has a generally flattened perimeter.

21. The ocular lens device of claim 18 wherein said size and configuration is selected to be bifocal.

20

22. The ocular lens device of claim 18 wherein said size and configuration is selected to be corrective for presbyopia and has a generally circular lens core central region without correction.

25

23. The ocular lens device of claim 22 wherein said lens core further comprises an opaque annular ring having a central open region and peripheral diameter.

5 24. The ocular lens device of claim 23 wherein said ring is formed by tattooing or placement of opaque material on said posterior surface.

25. The ocular lens device of claim 23 wherein said central open region has a diameter less than about 1.5 mm.

10 26. The ocular lens device of claim 25 wherein said central open region has a diameter between about 0.5 mm and about 1.5 mm.

27. The ocular lens device of claim 25 wherein said central open region has a diameter between about 0.75 mm and about 1.25 mm.

15 28. The ocular lens device of claim 24 wherein said ring comprises a Dacron mesh.

29. The ocular lens device of claim 23 wherein said opaque annular ring peripheral diameter is between 3-5 mm.

20 30. The ocular lens device of claim 29 wherein said ring peripheral diameter is selected to be less than the pupillary diameter of a selected recipient eye in low light.

31. The ocular lens device of claim 1 wherein said lens core further contains a therapeutic agent, immunosuppressive agent, or growth factors.

32. The ocular lens device of claim 1 wherein said lens core has not been frozen, lyophilized, or chemically treated by a fixative.

5 33. The ocular lens device of claim 11 wherein said lens core is comprised of corneal tissue grown *in vitro*.

34. The ocular lens device of claim 1 wherein said epithelial cells and keratocytes comprise human corneal cells.

10

35. The ocular lens device of claim 34 wherein said epithelial cells and keratocytes comprise neonatal, fetal, or tissue bank corneal cells.

15

36. The ocular lens device of claim 1 wherein said lens core has a thickness and said thickness is less than 300 μm .

37. The ocular lens device of claim 36 wherein said lens core thickness is between 5-100 μm .

20

38. The ocular lens device of claim 1 wherein said lens core includes replaced keratocytes.

39. The ocular lens device of claim 1 wherein said lens core includes replaced epithelial cells covering at least a portion of said anterior surface.

40. The ocular lens device of claim 1 wherein said lens core has a periphery and further comprising an epithelial cell layer extending beyond the periphery of said lens core.

5 41. The ocular lens device of claim 1 wherein said lens core comprises replaced epithelial cells covering at least a portion of the anterior surface and further comprises a carrier supporting the replaced epithelial cells and the lens core.

10 42. The ocular lens device of claim 41 wherein said lens core has a periphery and further comprising an epithelial cell layer extending beyond the periphery of said lens core.

 43. The ocular lens device of claim 41 wherein the carrier comprises a material that is bioerodible or biodegradable.

15 44. The ocular lens device of claim 43 wherein the carrier is of a size and shape suitable for introduction onto the eye.

 45. The ocular lens device of claim 43 wherein the carrier comprises a material selected from the group consisting of collagen, gelatin, starch, glucosamine glucans, proteins, carbohydrates, polyanhydrides such as polylactides and polyglycolides, their
20 mixtures and copolymers, and polydiacxanone.

 46. The ocular lens device of claim 1 wherein said lens core is annular and has an opening between the anterior surface and the posterior surface.

47. The ocular lens device of claim 1 wherein said lens core comprises replaced keratocytes in said lens core.

48. The ocular lens device of claim 1 wherein said lens core comprises replaced epithelial cells covering at least a portion of said anterior surface.

49. The ocular lens device of claim 49 wherein said lens core comprises replaced epithelial cells covering substantially all of said anterior surface.

50. A method for correcting the vision of a human eye having a cornea with an anterior surface, comprising the steps of:

- a) preparing the anterior surface of the cornea; and
- b) introducing the ocular device of any of claims 1-49 upon said prepared anterior surface.

51. The method of claim 50 wherein said preparing step comprises removing a substantial portion of any epithelial cells present upon the anterior surface.

52. The method of claim 50 wherein said preparing step comprises lifting an epithelial layer from the anterior surface.

53. The method of claim 52 wherein said lifting step comprises employing vacuum to lift the epithelial layer from the anterior surface.

54. The method of claim 52 wherein said lifting step comprises applying ethanol to the epithelial layer to lift the epithelial layer from the anterior surface.

55. A method for the preparation of an ocular lens device comprising:

5 a) harvesting a lens core comprising donor corneal tissue and having a generally convex anterior surface and a posterior surface; and

b) having replaced epithelium covering at least a portion of said generally convex anterior surface; and

10 c) having replaced keratocytes repopulating said lens core, comprising the steps of:

i) devitalizing said lens core; and

ii) revitalizing said lens core.

15 56. The method of claim 55 further comprising the step of shaping said posterior surface.

57. The method of claim 56 wherein said shaping step comprises applying an ablative laser to the posterior surface.

20 58. The method of claim 57 wherein said shaping step comprises applying an excimer laser or other suitable shaping laser to the posterior surface.

59. The method of claim 56 wherein said shaping step comprises applying a water jet cutter to said posterior surface.

25

60. The method of claim 54 wherein said shaping step comprises shaping said posterior surface to correct at least one selected from the group consisting of myopia, aphakia, presbyopia, and astigmatism.

5 61. The method of claim 55 further comprising the step of harvesting said lens core.

62. The method of claim 61 wherein said lens core is harvested from human, rabbit, bovine, porcine, or guinea pig corneal tissue.

10

63. The method of claim 55 wherein said revitalization step comprises replacing cells cultured from human corneal tissue.

15

64. The method of claim 63 wherein said cells are cultured from neonatal tissue, fetal tissue, or tissue bank tissue.

65. The method of claim 64 further comprising the step of sterilizing said lens core after said shaping step.

20

66. The method of claim 65 wherein said sterilization step includes the step of contacting said lens core with glycerol.

67. The method of claim 65 wherein said sterilization step includes the step of contacting said lens core with ethylene oxide gas.

25

68. The method of claim 55 wherein said devitalization step comprises removing epithelium from said anterior surface and keratocytes from said lens core.

5 69. The method of claim 55 wherein said revitalization step comprises adding epithelial cells to at least a portion of said anterior surface and keratocytes to said lens core.

70. The method of claim 55 wherein said revitalization step includes the step of placing said lens core on a polyelectrolyte gel.

10 71. The method of claim 55 wherein the corneal tissue matrix of said lens core is not altered.

1/6

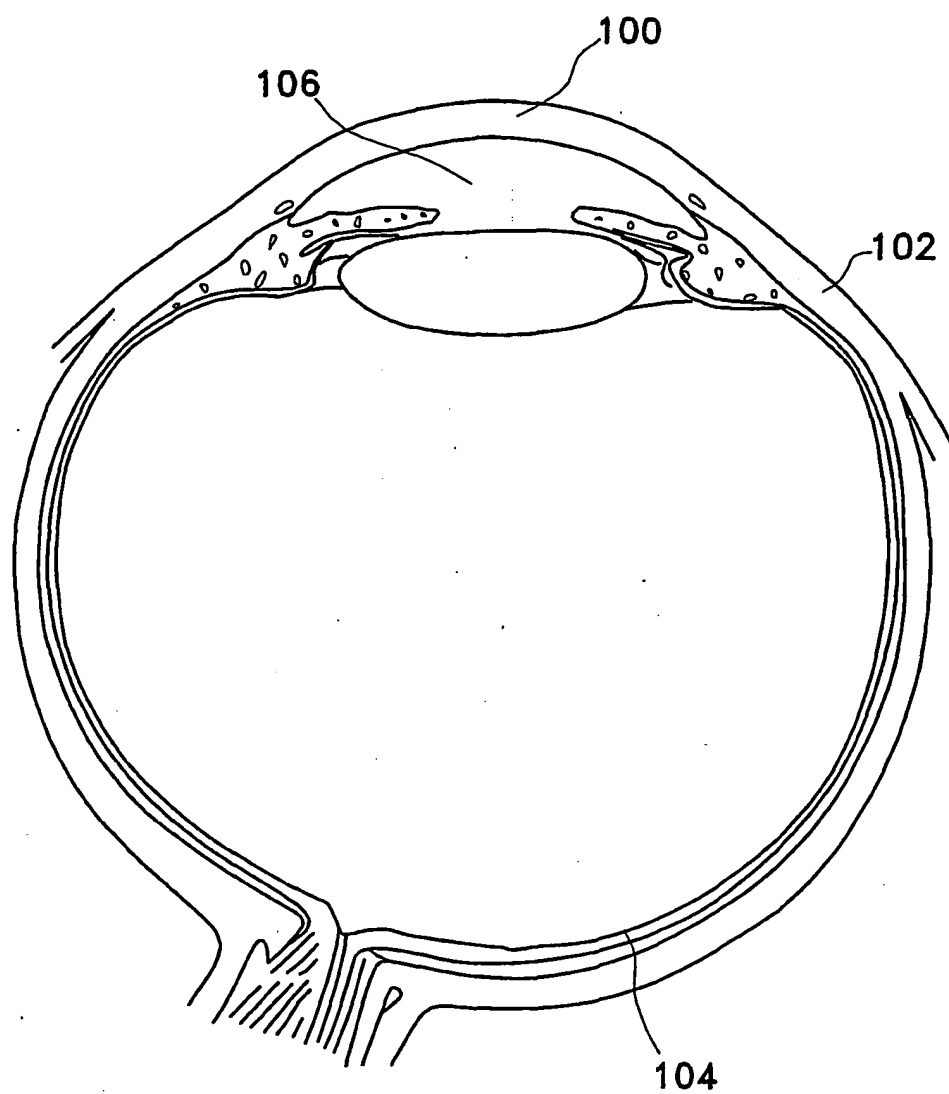


Fig. 1

2/6

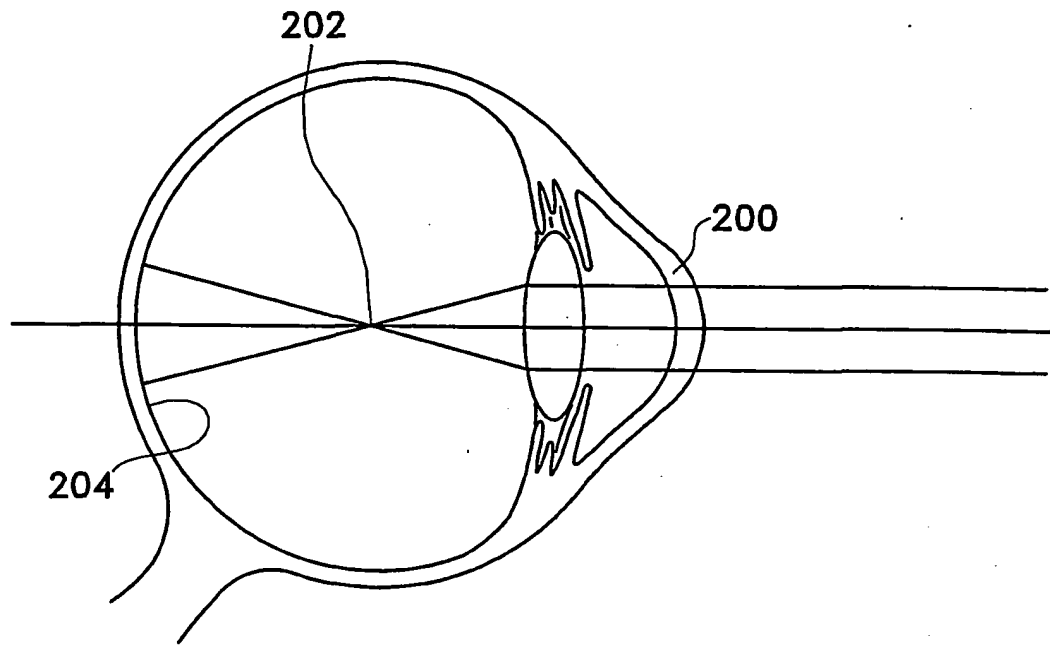


Fig. 2A

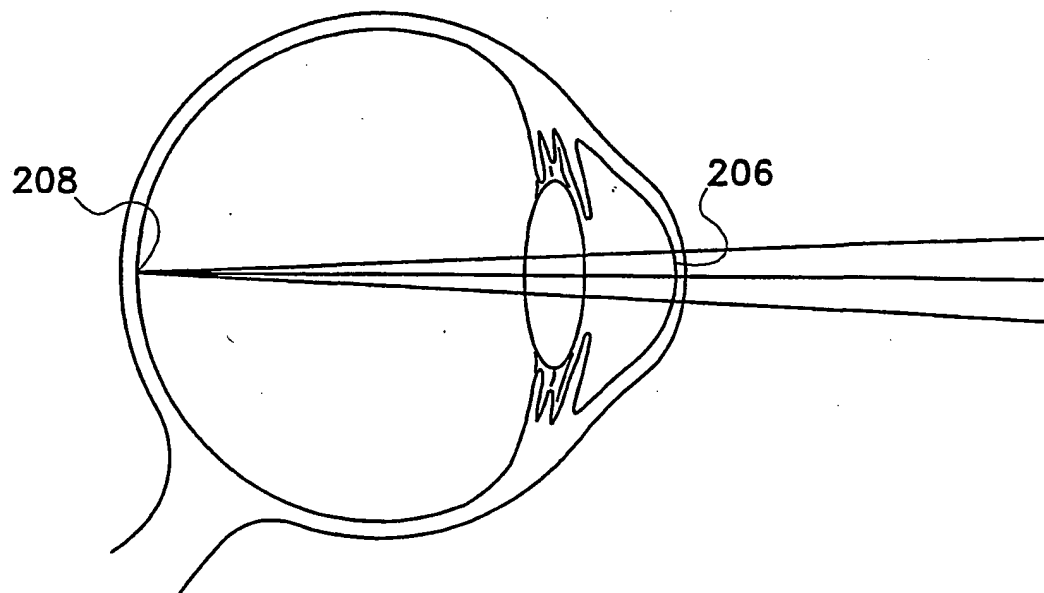


Fig. 2B

3/6

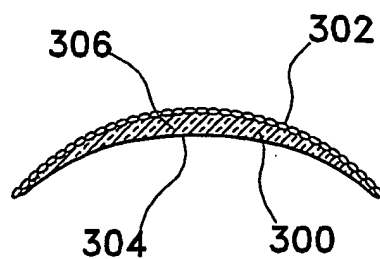


Fig. 3A

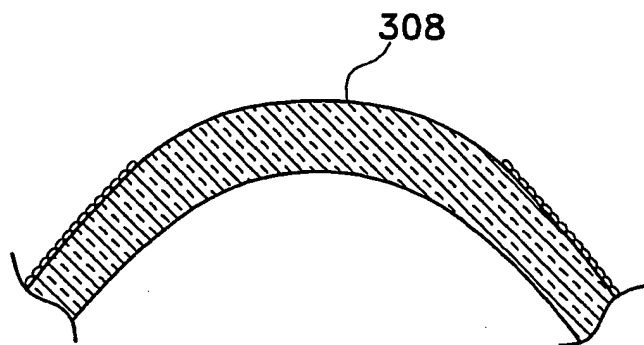


Fig. 4A

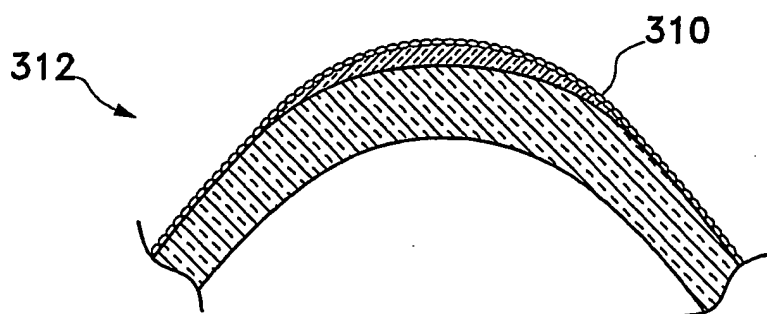


Fig. 4B

4/6

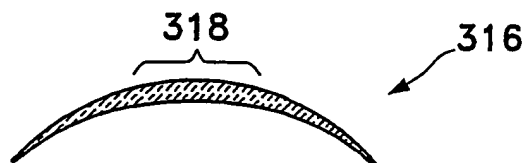


Fig. 3B

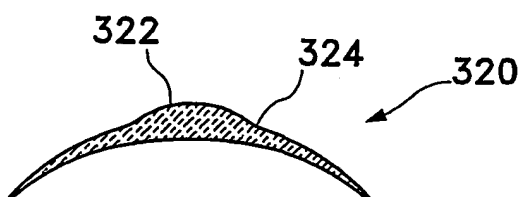


Fig. 3C

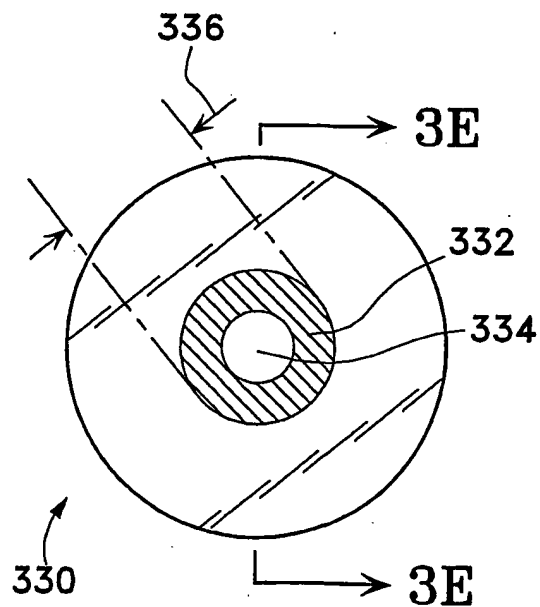


Fig. 3D

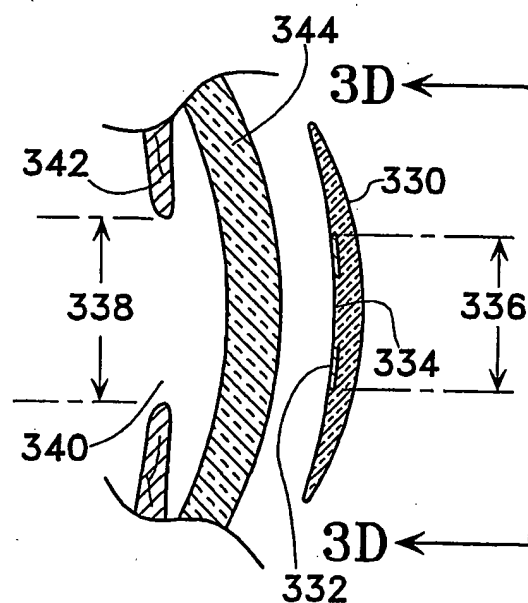


Fig. 3E

5/6

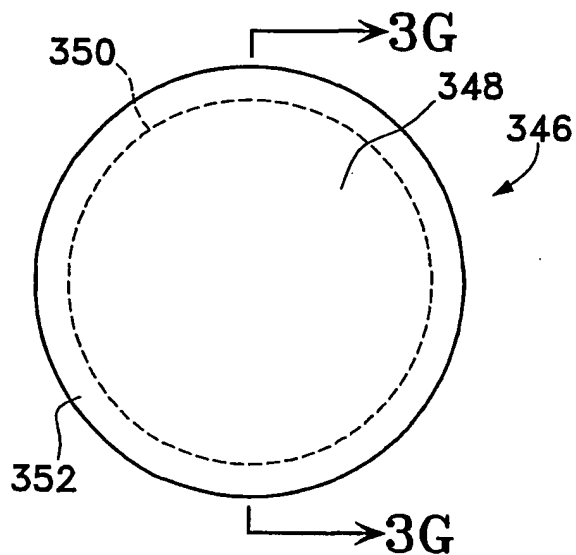


Fig. 3F

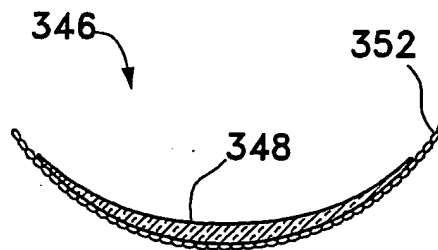


Fig. 3G

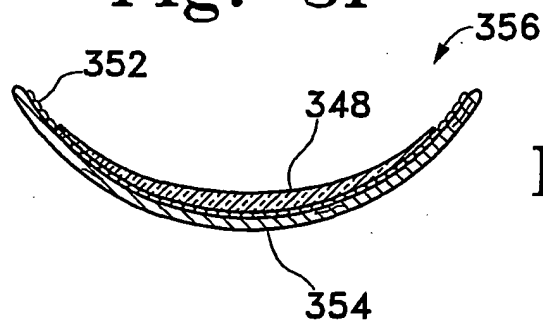


Fig. 3H

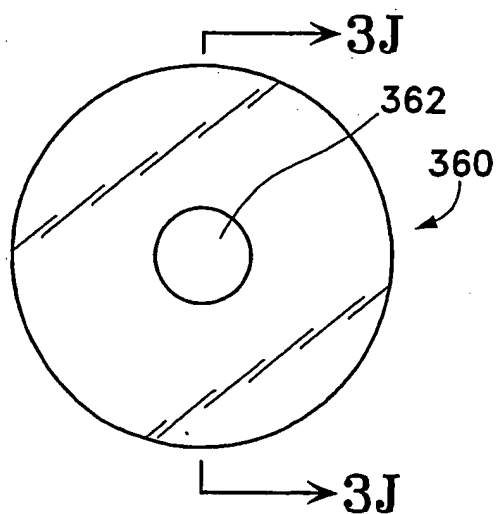


Fig. 3I

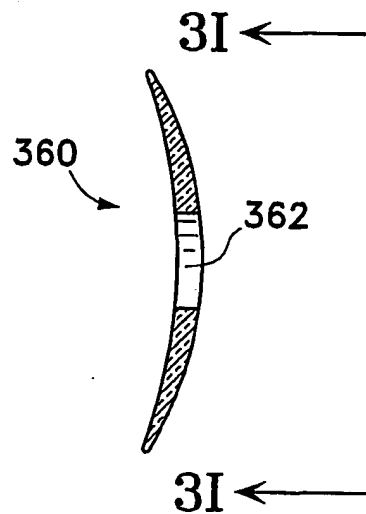


Fig. 3J

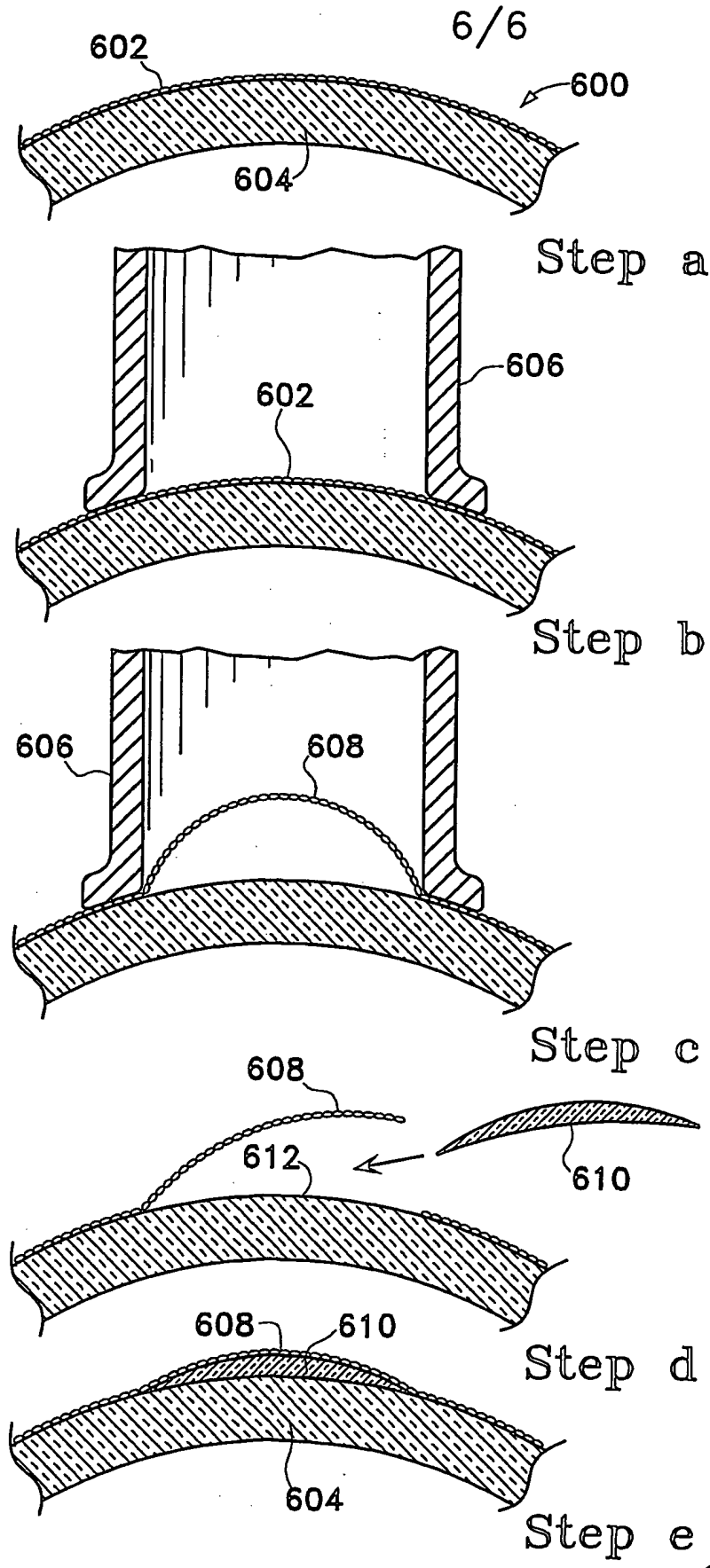


Fig. 5

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
24 January 2002 (24.01.2002)

PCT

(10) International Publication Number
WO 02/06883 A3

- (51) International Patent Classification⁷: **A61L 27/36**
- (21) International Application Number: **PCT/US01/22633**
- (22) International Filing Date: **18 July 2001 (18.07.2001)**
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:
09/618,580 **18 July 2000 (18.07.2000)** **US**
- (63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:
US **09/618,580 (CIP)**
Filed on **18 July 2001 (18.07.2001)**
- (71) Applicant and
(72) Inventor: **PEREZ, Edward [US/US]; 799 Berkeley Street, H, Menlo Park, CA 94025 (US).**
- (74) Agent: **WHEELLOCK, E., Thomas; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).**
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— *with international search report*
- (88) Date of publication of the international search report:
23 May 2002
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



WO 02/06883 A3

(54) Title: **PRE-FABRICATED CORNEAL TISSUE LENS AND METHOD OF CORNEAL OVERLAY TO CORRECT VISION (I)**

(57) Abstract: This relates to a lens made of donor corneal tissue suitable for use as a contact lens or an implanted lens, to a method of preparing that lens, and to a technique of placing the lens on the eye. The lens is made of donor corneal tissue that is acellularized by removing native epithelium and keratocytes. These cells optionally are replaced with human epithelium and keratocytes to form a lens that has a structural anatomy similar to human cornea. The ocular lens may be used to correct conditions such as astigmatism, myopia, aphakia, and presbyopia.

INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/US 01/22633

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 A61L27/36

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61L A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EPO-Internal, MEDLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 793 344 A (FOSBERG J ROBERTS ET AL) 27 December 1988 (1988-12-27)	
A	US 5 919 185 A (PEYMAN GHOLAM A) 6 July 1999 (1999-07-06)	
A	DATABASE MEDLINE 'Online! US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; CHEN K H ET AL: "Transplantation of adult human corneal endothelium ex vivo: a morphologic study." retrieved from STN Database accession no. 2001545367 XP002186932 abstract & CORNEA, (2001 OCT) 20 (7) 731-7. , -/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

9 January 2002

Date of mailing of the international search report

25/01/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Cousins-Van Steen, G

INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/US 01/22633

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>DATABASE MEDLINE 'Online! US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; JOO C K ET AL: "Repopulation of denuded murine Descemet's membrane with life-extended murine corneal endothelial cells as a model for corneal cell transplantation." retrieved from STN Database accession no. 2000227355 XP002186933 abstract & GRAEFES ARCHIVE FOR CLINICAL AND EXPERIMENTAL OPHTHALMOLOGY, (2000 FEB) 238 (2) 174-80. ,</p> <p style="text-align: center;">-----</p>	

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/22633

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4793344	A	27-12-1988	NONE	
US 5919185	A	06-07-1999	AU 739297 B2	11-10-2001
			AU 6456798 A	24-11-1998
			BR 9809289 A	04-07-2000
			CN 1253484 T	17-05-2000
			EP 1014872 A1	05-07-2000
			JP 2000513986 T	24-10-2000
			WO 9848715 A1	05-11-1998
			US 6280470 B1	28-08-2001
			US 6063073 A	16-05-2000
			US 2001034516 A1	25-10-2001